

UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/942,893         | 08/30/2001          | Hironori Sumitomo     | 15162/03910            |

CONFIRMATION NO. 9827

## FORMALITIES LETTER



\*OC000000006840128\*

24367  
SIDLEY AUSTIN BROWN & WOOD  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201

Date Mailed: 10/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

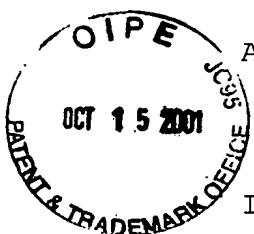
*KRAXON*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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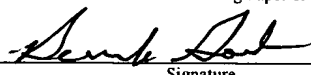
Attorney Docket No. 15162/03910

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application: Hironori SUMITOMO and Noriyuki OKISU  
For: IMAGE TAKING APPARATUS  
U.S. Serial No.: 09/942,893  
Filed: August 30, 2001  
Group Art Unit: 2612  
Examiner: To Be Assigned

BOX MISSING PARTS  
Assistant Director  
For Patents  
Washington, D.C. 20231

|  |
|--|
| EXPRESS MAIL MAILING LABEL No.: EL 794575209 US<br>DATE OF DEPOSIT: OCTOBER 15, 2001   |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the dated indicated above and is addressed to: BOX MISSING PARTS, Assistant Director for Patents, Washington, DC 20231. |
| Derrick Gordon<br>Name of Person Mailing Paper or Fee  |
| <br>Signature  |
| OCTOBER 15, 2001<br>Date of Signature  |

Dear Sir:

**COMPLETION OF APPLICATION**

The Notice to File Missing Parts of Nonprovisional Application dated October 3, 2001, (copy enclosed), indicates that the Declaration filed with the above-identified U.S. patent application was unexecuted.

Applicants submit herewith the Declaration executed by the Applicants on September 11, 2001, respectively. The executed Declaration identifies the application by attorney docket number, title, filing date, and inventors.

Serial No. 09/942,893

Please charge the \$130.00 fee to Sidley Austin Brown & Wood's Deposit Account No. 18-1260. Please charge any additional fees (other than issue fee) required during the pendency of this application to Sidley Austin Brown & Wood's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This document is being filed in duplicate.

Respectfully submitted,

By: James W. Williams  
James W. Williams  
Registration No. 20,047  
Attorney for Applicants

JWW:pm

SIDLEY AUSTIN BROWN & WOOD  
717 North Harwood, Suite 3400  
Dallas, Texas 75201-6507  
(214) 981-3328 (Direct)  
(214) 981-3300 (Main)  
(214) 981-3400 (Facsimile)

October 15, 2001

10-18-01

2612

Receipt



Attorney Docket No. 15162/03910

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application: Hironori SUMITOMO and Noriyuki OKISU  
For: IMAGE TAKING APPARATUS  
U.S. Serial No.: 09/942,893  
Filed: August 30, 2001  
Group Art Unit: 2612  
Examiner: To Be Assigned

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NOV 29 2001  
Technology Center 2600

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Assistant Director  
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Washington, D.C. 20231

EXPRESS MAIL MAILING LABEL No.: EL 794575209 US  
DATE OF DEPOSIT: OCTOBER 15, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the dated indicated above and is addressed to: BOX MISSING PARTS, Assistant Director for Patents, Washington, DC 20231.

Derrick Gordon

Name of Person Mailing Paper or Fee

*Derrick Gordon*  
Signature

OCTOBER 15, 2001

Date of Signature

Dear Sir:

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Applicants hereby request that the filing receipt data of the above-identified U.S. patent application as listed on the records of the Patent and Trademark Office be corrected.

Submitted herewith is a copy of the first page of the Filing Receipt indicating in red that the inventors' residence addresses should be corrected as follows:

After "Sumitomo,", delete "Osaka-Shi," and insert --Moriguchi-Shi,--; and

Serial No. 09/942,893

After "Okisu," , delete "Osaka-Shi," and insert  
--Osakasayama-Shi,--.

Enclosed is a copy of the Declaration and Power of Attorney filed concurrently, indicating the correct residence addresses of the inventors.

The Declaration shows the residential address of Mr. SUMITOMO to be Moriguchi-Shi, Osaka, Japan. Thus, the city of residence is "Moriguchi -Shi", while the prefecture of residence is "Osaka".

The Declaration shows the residential address of Mr. OKISU to be Osakasayama-Shi, Osaka, Japan. Thus, the city of residence is "Osakasayama-Shi", while the prefecture of residence is "Osaka".

MPEP 605.02 indicates that the residential address should be "the city and either state or foreign country". Thus, if the Filing Receipt is to be less than that which is recited in the Declaration, it should at least correctly identify the city and country.

Accordingly, it is respectfully requested that the inventors' residence addresses concerning the present application be corrected.

Serial No. 09/942,893

It is believed that no fee is incurred by this filing. However, if it should be determined that a fee is required, please charge any fees (other than an issue fee) required during the pendency of this application to Sidley Austin Brown & Wood's Deposit Account No.18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

Respectfully submitted,

By: James W. Williams  
James W. Williams  
Registration No. 20,047  
Attorney for Applicants

JWW:pm

SIDLEY AUSTIN BROWN & WOOD  
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(214) 981-3400 (Facsimile)

October 15, 2001



代理人の案件番号: 15162/03910

Attorney Docket No.: 15162/03910

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NOV 29 2001  
Technology Center 2600

特許出願宣誓書および委任状

DECLARATION AND POWER OF  
ATTORNEY FOR PATENT APPLICATION

私は、以下に記載された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先、国籍は、私の氏名の後に記載された通りである。

My residence, post office address, and citizenship are as stated next to my name.

下記の名称の発明について、特許請求の範囲に記載され、かつ特許が求められている発明主題に関して、私は、私が最初、最先かつ唯一の発明者である（唯一の氏名が記載されている場合）か、または、私と以下に記載された他の者が最初、最先かつ共同発明者である（複数の氏名が記載されている場合）と、信じている。

I believe that I am the original, first, and sole inventor (if only one name is listed below) or I and the other person(s) listed below are the original, first, and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COPY

IMAGE TAKING APPARATUS

上記発明の明細書はここに添付されているが、下記のボックスがチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☒ 上記発明が、以下の日に出願され、

☐ was filed on

August 30, 2001

以下の米国出願番号を有し、

as United States Application Number

または、以下の P C T 国際出願番号を有し、

or PCT International Application Number

かつ、以下の日に補正されている

and was amended on

(該当する場合)。

(if applicable).

私は、上記の補正書によって補正された、特許請求の範囲を含む上記明細書を検討し、かつ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第 37 編規則 1.56 に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一国を指定している米国法典第 35 編第 365 条(a)項による PCT 国際出願について、同第 119 条(a)-(d)項または第 365 条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日よりも前の出願日を有する外国での特許出願または発明者証の出願、或いは PCT 国際出願については、いかなる出願も、下記のボックスをチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.



PRIOR FOREIGN APPLICATION(S)  
(外国での先行出願)

Priority  
Not Claimed  
(優先権主張なし)

|                  |                   |                                     |                          |
|------------------|-------------------|-------------------------------------|--------------------------|
| 2000-268122      | Japan             | 5/9/00                              | <input type="checkbox"/> |
| (Number)<br>(番号) | (Country)<br>(国名) | (Day/Month/Year Filed)<br>(出願日/月/年) |                          |
| <hr/>            |                   |                                     | <input type="checkbox"/> |
| (Number)<br>(番号) | (Country)<br>(国名) | (Day/Month/Year Filed)<br>(出願日/月/年) |                          |
| <hr/>            |                   |                                     | <input type="checkbox"/> |
| (Number)<br>(番号) | (Country)<br>(国名) | (Day/Month/Year Filed)<br>(出願日/月/年) |                          |
| <hr/>            |                   |                                     | <input type="checkbox"/> |
| (Number)<br>(番号) | (Country)<br>(国名) | (Day/Month/Year Filed)<br>(出願日/月/年) |                          |
| <hr/>            |                   |                                     | <input type="checkbox"/> |
| (Number)<br>(番号) | (Country)<br>(国名) | (Day/Month/Year Filed)<br>(出願日/月/年) |                          |
| <hr/>            |                   |                                     | <input type="checkbox"/> |
| (Number)<br>(番号) | (Country)<br>(国名) | (Day/Month/Year Filed)<br>(出願日/月/年) |                          |
| <hr/>            |                   |                                     | <input type="checkbox"/> |
| (Number)<br>(番号) | (Country)<br>(国名) | (Day/Month/Year Filed)<br>(出願日/月/年) |                          |
| <hr/>            |                   |                                     | <input type="checkbox"/> |

私は、ここに、下記のいかなる米国仮特許  
出願についても、その米国法典第 3 5 編 1 1  
9 条(e)項の利益を主張する。

I hereby claim the benefit under Title 35,  
United States Code, Section 119(e) of any United  
States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number)  
(出願番号)

\_\_\_\_\_  
(Filing Date)  
(出願日)

\_\_\_\_\_  
(Application Number)  
(出願番号)

\_\_\_\_\_  
(Filing Date)  
(出願日)

私は、ここに、以下のいかなる米国出願についても、その米国法典 35 編第 120 条に基づく利益を主張し、また米国を指定するいかなる PCT 国際出願についても、その同第 365 条(c)項に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第 35 編第 112 条第 1 段に規定された態様で、先行する米国出願または PCT 国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日または PCT 国際出願日との間の期間中に入手された情報で、連邦規則法典第 37 編規則 1.56 に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application.

|                                |                        |  |
|--------------------------------|------------------------|--|
| (Application Number)<br>(出願番号) | (Filing Date)<br>(出願日) | (Status: Patented, Pending, Abandoned)<br>(現状：特許許可、係属中、放棄) |
| (Application Number)<br>(出願番号) | (Filing Date)<br>(出願日) | (Status: Patented, Pending, Abandoned)<br>(現状：特許許可、係属中、放棄) |

私は、ここに表明された私自身の知識に係わる陳述が真実であり、かつ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行なった場合は、米国法典第 18 編第 1001 条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行なわれたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

委任状： 私は、本出願を審査する手続きを行ない、かつ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、以下のカスタマー番号に対応する弁護士・弁理士を任命する。また、私は、全ての連絡はそのカスタマー番号に対応するアドレスになされることを指示する。

POWER OF ATTORNEY: As a named inventor, I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number.

Customer Number: 24367



24367

PATENT & TRADEMARK OFFICE

直通電話先:

Direct telephone calls to:

James W. Williams

James W. Williams

ダイレクトイン: (214) 981-3328

Direct No.: (214) 981-3328

代表電話番号: (214) 981-3300

Main No.: (214) 981-3300

代理人の案件番号: 15162/03910

Attorney Docket No.: 15162/03910

Full name of sole or first joint inventor:

唯一または第1発明者の氏名:

| Hironori | (NMI)    | SUMITOMO |
|----------|----------|----------|
| First    | Middle   | Last     |
| (名)      | (ミドルネーム) | (姓)      |

Inventor's signature: Hironori Sumitomo  
発明者の署名:

Date: Sep. 11. 2001  
日付:

| Residence: | Moriguchi-Shi | Osaka        | Japan     |
|------------|---------------|--------------|-----------|
| 住所:        | (City)        | (Prefecture) | (Country) |
|            | (市)           | (都道府県)       | (国名)      |

Citizenship: Japan  
国籍

Post Office Address: c/o MINOLTA CO., LTD.  
郵便の宛先:

Osaka Kokusai Bldg., 3-13, 2-Chome,  
Azuchi-Machi, Chuo-Ku, Osaka-Shi,  
Osaka 541-8556 JAPAN

Full name of second joint inventor:  
第2共同発明者の氏名:

|          |          |       |
|----------|----------|-------|
| Noriyuki | (NMI)    | OKISU |
| First    | Middle   | Last  |
| (名)      | (ミドルネーム) | (姓)   |

Inventor's signature: Noriyuki Okisu  
発明者の署名:

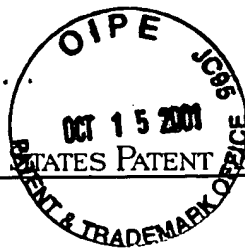
Date: September 11, 2001  
日付:

|            |                 |              |           |
|------------|-----------------|--------------|-----------|
| Residence: | Osakasayama-Shi | Osaka        | Japan     |
| 住所:        | (City)          | (Prefecture) | (Country) |
|            | (市)             | (都道府県)       | (国名)      |

Citizenship: Japan  
国籍

Post Office Address: c/o MINOLTA CO., LTD.  
郵便の宛先:

|  |
|--|
| <u>Osaka Kokusai Bldg., 3-13, 2-Chome,</u> |
| <u>Azuchi-Machi, Chuo-Ku, Osaka-Shi,</u>   |
| <u>Osaka 541-8556 JAPAN</u>                |



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20503  
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/942,893         | 08/30/2001  | 2612         | 710           | 15162/03910     | 11       | 12         | 2          |

CONFIRMATION NO. 9827

24367  
SIDLEY AUSTIN BROWN & WOOD  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201

RECEIVED-DOCKETING

OCT 15 2001

SIDLEY AUSTIN BROWN &amp; WOOD

## FILING RECEIPT



\*OC000000006840127\*

Date Mailed: 10/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hironori Sumitomo, Osaka-shi, JAPAN;  
Noriyuki Okisu, Osaka-shi, JAPAN;

MORIGUCHI-SHI,  
OSAKA-SAYAMA-SHI,

COPY

Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-268122 09/05/2000

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Image taking apparatus

## Preliminary Class

348

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